

#### **Complaint Data - Stock Broker**

# Oro Financial Consultants Private Limited (SEBI Reg. No: Stock Broker - INZ000312534 | BSE Member Code-6821 and NSE Member Code 90389)

### Data for the month ending - October 2025

Sr. No	Received From	Carried Forward from previous month	Received during the month	Total Pendi ng	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						_	Pending for more than 3 months	
1	Directly from Investors	0	3	0	3	0	0	2
2	SEBI (SCORES 2.0)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	<b>Grand Total</b>	0	3	0	3	0	0	2

#### **Trend of monthly disposal of complaints**

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2025	0	1	1	0
2	May 2025	0	1	1	0
3	June 2025	0	0	0	0
4	July 2025	0	2	2	0
5	August 2025	0	2	2	0
6	September 2025	0	0	0	0
7	October 2025	0	3	3	3
	<b>Grand Total</b>	0	9	9	0

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month.

### **Trend of Annual Disposal of Complaints**

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the	
No		from previous	the year	the year*	end of the year**	
		year				
1	2019-2020 \$	Not Applicable	Not Applicable	Not Applicable	Not Applicable	
2	2020-2021\$	Not Applicable	Not Applicable	Not Applicable	Not Applicable	
3	2021-2022 \$	Not Applicable	Not Applicable	Not Applicable	Not Applicable	
4	2022-2023 \$	Not Applicable	Not Applicable	Not Applicable	Not Applicable	
5	2023-2024	0	7	7	0	
5	2024-2025	0	23	23	0	
6	2025-2026^^	0	6	6	0	
	<b>Grand Total</b>	0	36	36	0	

<sup>\*\*</sup>Inclusive of total complaints pending as on the last day of the month.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



## \$ The Company has received the certificate of registration as Stock Broker w.e.f. June 05, 2023.

\*Inclusive of complaints of previous months resolved in the current month

\*\*Inclusive of complaints pending as on the last day of the month.

^^ Count of complaints as on October 31, 2025.